Multiconfigurational study on the synchronous mechanisms of the ClO self-reaction leading to Cl or Cl₂

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Received: 7 November 2011/Accepted: 14 February 2012/Published online: 2 March 2012 © Springer-Verlag 2012

Abstract For studying the adiabatic and nonadiabatic mechanisms of the ClO $(X^2\Pi)$ + ClO $(X^2\Pi)$ \rightarrow ClO-OCl \rightarrow 2Cl (2P_u) + O₂ ($X^3\Sigma_g^-$) reaction (1) and the ClO $(X^{2}\Pi) + \text{ClO}(X^{2}\Pi) \rightarrow \text{ClOOCl} \rightarrow \text{Cl}_{2}(X^{1}\Sigma_{g}^{+}) + \text{O}_{2}$ $(X^{3}\Sigma_{g}^{-})$ reaction (2), we calculated, by partial geometry optimizations under the C2 constraint, the O-O and O-Cl dissociation potential energy curves (PECs) from the five low-lying states of ClOOCl at the CASPT2 level. The CASSCF minimum-energy crossing point (MECP) between the potential energy surfaces of the 1 ¹A ground state [correlating with the product of reaction (1)] and the 1 ³B state [correlating with the product of reaction (2)] states was also determined. Based on the CAS calculation results (PECs, energies, and spin-orbit coupling at the MECP), we predict that reaction (1) occurs along pathway 1: ClO $(X^{-2}\Pi) + \text{CIO} \quad (X^{-2}\Pi) \rightarrow \text{CIOOCl} \quad (1^{-1}A) \rightarrow 2\text{Cl}$ $(^{2}P_{\rm u}) + {\rm O}_{2} (X^{3}\Sigma_{\rm g}^{-})$ and that reaction (2) occurs along pathway 2: ClO $(X^{2}\Pi)$ + ClO $(X^{2}\Pi)$ \rightarrow ClOOCl $(1 \ ^{1}A) \rightarrow 1 \ ^{1}A/1 \ ^{3}B \ MECP \ (142.4 \ cm^{-1}) \rightarrow ClOOCl$ $(1^{3}B) \rightarrow Cl_{2}(X^{1}\Sigma_{\varrho}^{+}) + O_{2}(X^{3}\Sigma_{\varrho}^{-})$. The needed energies (relative to the reactant) for pathways 1 and 2 are predicted to be 5.3 and 11.1 kcal/mol, respectively, which indicates that reaction (1) is more favorable than reaction (2). The present work supports the traditional photochemical model for ozone degradation: ClOOCl (1 ¹A), formed by two ClO $(X^2\Pi)$, can directly produce O_2 plus two Cl atoms.

Keywords ClOOCl · Adiabatic and nonadiabatic mechanisms · CASPT2 · MECP · Ozone degradation

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1 Introduction

The traditional chlorine catalytic cycle for ozone hole formation [1–8] consists of the following reactions: (1) $Cl + O_3 \rightarrow ClO + O_2$ (a simple reaction, but the key reaction for ozone degradation), (2) two ClO radicals in the ground state combine to form ClOOCl, and (3) ClOOCl dissociates into two Cl (2P_u) atoms and O_2 (X $^3\Sigma_g^-$) synchronously or stepwisely (via other isomers of ClOOCl or some intermediates). In the present work, we focus on the synchronous reaction from ClO, ClO (X $^2\Pi$) + ClO (X $^2\Pi$) \rightarrow ClOOCl \rightarrow 2Cl (2P_u) + O_2 (X $^3\Sigma_g^-$), which is denoted as reaction (1) in the present work. Because of the lower energy of Cl_2 (X $^1\Sigma_g^+$) + O_2 (X $^3\Sigma_g^-$), we also consider the reaction ClO (X $^2\Pi$) + ClO (X $^2\Pi$) \rightarrow ClOOCl \rightarrow Cl $_2$ (X $^1\Sigma_g^+$) + O_2 (X $^3\Sigma_g^-$), which is denoted as reaction (2) in the present work.

Reactions (1) and (2) have different final products, and the ClOOCl species in the above two equations may be in different electronic states. Each of reactions (1) and (2) can be considered to consist of O–O and O–Cl dissociation reactions from ClOOCl in a specific electronic state to the reactant (ClO + ClO) and final product (2Cl + O_2 or $Cl_2 + O_2$), respectively.

Extensive experimental [9–20] and theoretical [21–32] studies on the UV/Vis absorption spectrum of ClOOCl have been reported in the literature. Many recent experimental studies [6, 7, 14–20] have restored confidence in the traditional photochemical model for ozone degradation. To the best of our knowledge, no theoretical studies on reactions (1) and (2) have been reported in the literature. In 2003, Zhu and Lin [33] reported their theoretical mechanisms of ClO + ClO \rightarrow Cl₂O₂ \rightarrow Cl + ClOO and Cl₂ + O₂ via an isomer of ClOOCl using the B3LYP and Gaussian-2 methods. Multiconfigurational methods are



needed in theoretical study of reactions (1) and (2), since the open-shell systems appear in the reactant (CIO + CIO) and products, and the electronic excited states of CIOOCI may be involved. The main purpose of the present theoretical work is to study the adiabatic and nonadiabatic mechanisms of reactions (1) and (2), using the multiconfiguration second-order perturbation theory (CASPT2) [34, 35] and the complete active space self-consistent-field (CASSCF) [36] methods.

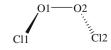
In the present study, we first calculated vertical excitation energies (T_v) at the CASPT2 level for many electronic states of ClOOCl using CASPT2 optimized geometry of the 1 ¹A ground state (see Sect. 3.1). We chose the 1 ¹A, 1 ¹B, 1 ³A, 1 ³B, and 2 ¹A states for further calculations, as these states are considered to be low-lying states [30–32] By performing CASPT2 partial geometry optimization calculations, we calculated the O-O and O-Cl dissociation potential energy curves (PECs) from ClOOCl in the five states to the ClO + ClO, $2Cl + O_2$, or $Cl_2 + O_2$ group (see Sect. 3.2). The starting points of these O-O and O-Cl dissociation PECs are at the CASPT2 geometry of the 1 ¹A state, since the 1 ¹B, 1 ³A, 1 ³B, and 2 ¹A states are not bound states (indicated by our CASPT2 geometry optimization calculations and by the previous sa-CASSCF calculations of Kaledin and Morokuma [25]). This implies that the 1 ¹B, 1 ³A, 1 ³B, and 2 ¹A "adiabatic" paths for reactions (1) and (2) are assumed to pass through the geometry of the 1 ¹A state. For studying nonadiabatic paths, we located minimum-energy crossing points (MECPs) in the potential energy surfaces (PESs) between different states at the CASSCF level and calculated the CASPT2 energies and CASSCF spin-orbit coupling values at the MECPs. On the basis of our results, we predict adiabatic and nonadiabatic mechanisms of reactions (1) and (2). We will discuss the predicted mechanisms and also the above-mentioned assumption.

2 Technical details of calculation

Geometry and atom labeling used for ClOOCl are shown in Fig. 1. We assume that the reaction system (ClOOCl) remains in the C_2 symmetry.

The CAS calculations were carried out using the MOLCAS v7.4 package [37, 38]. A large contracted atomic natural orbital (ANO-L) basis set [39–42], Cl [5s4p3d2f]/O [4s3p2d1f], was used. With a CASSCF wave

Fig. 1 Atom labelings for ClOOCl in the C_2 and C_s symmetry, respectively, used in the present work



function constituting the reference function, the CASPT2 calculations were performed to compute the first-order wave function and the second-order energy in full CI space.

In the CASPT2 calculations for the electronic states of ClOOCl, 26 electrons were active and the active space included 16 orbitals (the full valence active space) [denoted as CASPT2 (26, 16)]. In the CAS calculations for the PECs and MECPs, 18 electrons were active and the active space included 12 orbitals (including all the *p*-orbitals of the four atoms) [denoted as CASSCF (18, 12) and CASPT2 (18, 12)]. Geometry optimization calculations for the 1 ¹A ground state were also performed at the CAS (18, 12) levels. In all the CASPT2 calculations, the weight values of the CASSCF reference functions in the first-order wavefunctions were larger than 0.80.

The O–O and O–Cl dissociation PECs of the five ClOOCl states were obtained based on the CASPT2 (18, 12) partial geometry optimization calculations performed at sets of fixed O–O and O–Cl bond distance values, respectively, ranging from the respective bond values in the CASPT2 (18, 12) 1 1 A geometry (see Sect. 3.1) to 5.0 Å. The ClOOCl systems in the different states at the R (O–O) and R (O–Cl) values of 5.0 Å are called asymptote reactant (ClO + ClO) and asymptote products (2Cl + O₂ and Cl₂ + O₂), respectively. The CASSCF MECP calculations for selected state/state pairs were carried out in the C₂ symmetry. The state/state pairs were selected mainly based on the PEC crossings in the PEC figure.

All of the energy values reported in the present article do not include the zero-point energy (ZPE) corrections.

3 Results and discussion

3.1 Electronic states of ClOOCl

Given in Table 1 are the CASPT2 (26, 16) and (18, 12) optimized geometries (in the C2 symmetry) for the ground state (1 ¹A) of ClOOCl, together with the previously reported theoretical [30] and experimental [10, 11] geometric data. The predicted C2 equilibrium geometry was confirmed by the CASSCF (18, 12) frequency calculations using the CASSCF (18, 12) optimized geometry. The CASPT2 (26, 16) geometries are very close to the respective CASPT2 (18, 12) geometries. As shown in Table 1, deviations of the CASPT2 geometric parameter values from the respective experimental values are within 0.04 Å for bond lengths and 0.9° for bond angle and dihedral angle. The previous CCSD (T) calculations [30] predicted a more accurate 1 ¹A geometry than our CASPT2 calculations and the previous MRCI calculations [30]. The discrepancies among these theoretical geometries should



Table 1 CASPT2 (26, 16) and CASPT2 (18, 12) optimized geometries for the 1 ¹A ground state of the ClOOCl molecule, together with the previously reported theoretical and experimental geometries (bond lengths are given in Å, the angle and dihedral values in degrees; for notations see Fig. 2)

Calculation methods	1 ¹ A geometry					
	R (O-O)	R (O-Cl)	∠ClOO	∠Cloocl		
CASPT2 (26, 16)	1.383	1.732	109.2	81.1		
CASPT2 (18, 12)	1.386	1.730	109.2	82.3		
MRCI (26, 16) ^a	1.396	1.775	109.4	84.8		
CASSCF (18, 12) ^b	1.354	1.826	110.7	86.4		
CCSD (T) ^a	1.406	1.715	109.4	82.6		
Experimental geometry ^c	1.426	1.704	110.1	81.9		

^a Ref. [30]

be caused by the different electronic correlation methods, different active spaces, and/or different basis sets.

The $T_{\rm v}$ values for eight low-lying (singlet and triplet) states of ClOOCl were calculated at the CASPT2 levels, and the 1 1 A (ground state), 1 1 B, 1 3 A, 1 3 B, and 2 1 A states are predicted to be the five lowest-lying states. Given in Table 2 are the CASPT2 (26, 16) $T_{\rm v}$ values of the five states [based on the CASPT2 (26, 16) energy calculations using the optimized 1 1 A geometry at the CASPT2 (26, 16) level] and the CASPT2 (18, 12) $T_{\rm v}$ values of the five states [based on the CASPT2 (18, 12) energy calculations using the optimized 1 1 A geometry at the CASPT2 (18, 12) level]. The CASPT2 (26, 16) $T_{\rm v}$ values of the 1 1 A, 1 1 B, 1 3 A, 1 3 B, and 2 1 A states are 0.0, 3.47, 2.75, 2.73, and 3.50 eV, respectively. The experimental $T_{\rm v}$ values are not available.

The CASPT2 (18,12) T_v values (0.0, 3.49, 2.78, 2.76, and 3.53 eV for 1 ¹A, 1 ¹B, 1 ³A, 1 ³B, and 2 ¹A, respectively) are very close to the respective CASPT2 (26,16) $T_{\rm v}$ values. The $T_{\rm v}$ values of the five states based on the CASPT2 (26, 16) energy calculations using the 1 ¹A experimental geometry (see Table 1) are also given in Table 2, and they are only slightly larger than the CASPT2 (26, 16) $T_{\rm v}$ values (though the bond length values in the CASPT2 (26, 16) 1 ¹A optimized geometry are not very close to the experimental values). In Table 2, we also list some previously reported theoretical T_v values [30–32], which are larger (or significantly larger) than the CASPT2 $T_{\rm v}$ values. As already mentioned, the 1 1 B, 1 3 A, 1 3 B, and 2 ¹A states are not bound states (indicated by our CASPT2 geometry optimization calculations and CASSCF//CASS-CF vibrational frequency analyses and by the previous sa-CASSCF calculations [25]).

Table 2 CASPT2 (26, 16) and CASPT2 (18, 12) vertical excitation energies (T_v , in eV) for the five low-lying (singlet and triplet) states of the ClOOCl molecule [based on the CASPT2 (26, 16) and CASPT2 (18, 12) energy calculations at the CASPT2 (26, 16) and CASPT2 (18, 12) optimized geometries of the 1 1 A ground state, respectively], together with previously reported T_v values calculated using multiconfigurational methods

Calculation level	$T_{ m v}$					
	1 ¹ A	1 ³ B	1 ³ A	1 ¹ B	2 ¹ A	
CASPT2 (26, 16)	0.0	2.73	2.75	3.47	3.50	
CASPT2 (18, 12)	0.0	2.76	2.78	3.49	3.53	
CASPT2 (26, 16) ^a	0.0	2.79	2.82	3.55	3.58	
sa-CASPT2 (20, 13) ^b	0.0			3.75	3.78	
MRCI (20, 13) ^b	0.0			4.00	4.01	
MRCI (26, 16) ^c	0.0	3.12	3.12	4.04	4.04	
CASSCF (26, 16) ^d	0.0	3.15	3.21	4.32	4.37	
EOM-CCSD ^e	0.0			3.80	3.83	

^a The present work. Calculated at the experimental geometry (see Table 1) of the 1 ¹A ground state

3.2 O–O and O–Cl dissociation potential energy curves from ClOOCl

Given in Fig. 2 (the PEC figure) are the O-O and O-Cl dissociation PECs for the 1 ¹A, 1 ¹B, 1 ³A, 1 ³B, and 2 ¹A states of ClOOCl at the CASPT2 (18, 12) level, the starting points of these O-O and O-Cl dissociation PECs are the the CASPT2 (18, 12) 1 ¹A geometry (mentioned in Introduction). In Fig. 2, we also give the CASPT2 (18, 12) energy values (relative to 1 ¹A) of asymptote reactant (ClO + ClO) and asymptote products $(2Cl + O_2)$ and $Cl_2 + O_2$) in the five ClOOCl states. In the following text, "the CASPT2 (18, 12) energy values (relative to 1 ¹A)" will be abbreviated to "the CASPT2 energy values." Similar singlet PECs were reported in the previous CASSCF [25], CAS-CI [27], and MRCI [32] studies (however, the triplet PECs were not calculated in those studies). Those singlet PECs were obtained based on single-point energy calculations and did not represent minimum-energy paths.

Based on the CASPT2 geometry optimization calculations for ClO ($X^2\Pi$), Cl₂ ($X^1\Sigma_g^+$), and O₂ ($X^3\Sigma_g^-$) (the optimized bond length values being 1.571, 1.999, and 1.206 Å, respectively) and the CASPT2 energy calculations



^b Ref. [25]

^c Refs. [10, 11]

^b Ref. [31]. Calculated at the MP2 geometry of the ground state [R (O–O) = 1.419 Å, R (O–Cl) = 1.744 Å, \angle ClOO = 109.0°, and \angle ClOOCl = 85.0°]

^c Ref. [32]. Calculated at the CCSD (T) geometry (see Table 1) of the 1 ¹A ground state

^d Ref. [30]. Calculated at the CCSD (T) geometry (see Table 1) of the 1 ¹A ground state

 $^{^{\}rm e}~$ Ref. [25]. Calculated at the CASSCF geometry (see Table 1) of the 1 $^{\rm 1}{\rm A}$ ground state

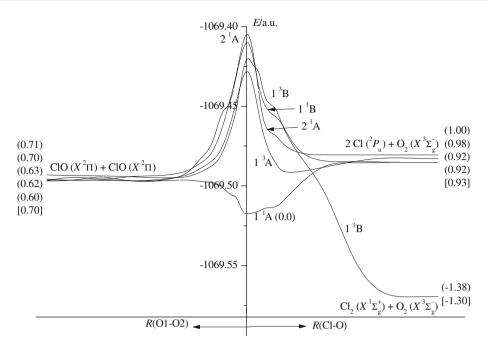


Fig. 2 CASPT2 (18, 12) PECs of the 1 ¹A, 1 ¹B, 1 ³A, 1 ³B, and 2 ¹A states of ClOOCl for the O–O dissociation [to the reactant of reactions (1) and (2)] and for the O–Cl dissociation [to the products of reactions (1) and (2)]. Values in parentheses are the CASPT2 (18, 12) energies (in eV, relative to 1 ¹A) of the asymptote reactants and products in the five ClOOCl states, and values in *square brackets* are the CASPT2

(18, 12) sum energies (in eV, relative to $1^{-1}A$) for the reactant and products of reactions (1) and (2). We incorporate the O–O and Cl–O dissociation PECs of the five states in this figure. The starting values along the left-hand and right-hand horizontal axes are the *R* (O–O) (1.386 Å) and *R* (Cl–O) (1.730 Å) values in the CASPT2 (18, 12) optimized geometry of ClOOCl ($1^{-1}A$), respectively

for Cl $(^{2}P_{II})$, we evaluated the CASPT2 sum energy values (relative to 1 1 A) for the ClO ($X^{2}\Pi$) + ClO ($X^{2}\Pi$) (reactant), 2Cl $({}^{2}P_{\rm u})$ + O₂ $(X^{3}\Sigma_{g}^{-})$ (product), and Cl₂ $(X^{1}\Sigma_{g}^{+}) + O_{2}(X^{3}\Sigma_{g}^{-})$ (product). These evaluated values are 0.70, 0.93, and -1.30 eV, respectively, and are given in Table 3 and in Fig. 2. By "sum energy," we mean the sum [relative to ClOOCl (1 ¹A)] of the calculated energies of the species in the reactant group or in the product groups. For example, the sum energy of the reactant group = $2 \times$ the calculated total energy value of ClO ($X^2\Pi$) at its optimized geometry minus the calculated total energy value of ClOOCl (1 ¹A) at its optimized geometry. The previously reported experimental [2, 7, 20, 43] and theoretical [25, 27] sum energy values (relative to 1 ¹A) are listed in Table 3. The CASPT2 sum energy value of 0.70 eV for ClO $(X^{2}\Pi) + ClO(X^{2}\Pi)$ is in good agreement with the experimental sum energy values of 0.74 eV [2], 0.71 eV [20], and 0.77 eV [7]. The CASPT2 sum energy value of 0.93 eV for 2Cl ($^2P_{\rm u}$) + O₂ ($X^3\Sigma_g^-$) is in agreement with the experimental sum energy values of 1.17 eV [2] and 1.09 eV [20]. The CASPT2 sum energy value of -1.30 eV for Cl₂ $(X^{1}\Sigma_{g}^{-}) + O_{2}(X^{3}\Sigma_{g}^{-})$ is in good agreement with the experimental sum energy values of -1.31 eV [43]. The previously reported CAS-CI sum energy value (0.76 eV) [27]. for ClO $(X^2\Pi)$ + ClO $(X^2\Pi)$ is also in good agreement with experiment [2, 7, 20] but the other three sum energy values predicted by the previous theoretical studies

[25, 27] are not in good agreement with experimental results [2, 7, 20] (one of the three values has a wrong sign).

We first analyze the O–O dissociation PECs in the left part of Fig. 2. The CASPT2 energy values of the 1 1 A, 1 1 B, 1 3 A, 1 3 B, and 2 1 A asymptote reactants (0.60, 0.62, 0.71, 0.63, and 0.70 eV, respectively) are close to the CASPT2 sum energy value of 0.70 eV for the ClO ($X^{2}\Pi$) + ClO ($X^{2}\Pi$) reactant group. The Cl–O bond length values in all the five asymptote reactants are close to the bond length value (1.571 Å) in the CASPT2 optimized geometry of ClO ($X^{2}\Pi$). These facts indicate that the 1 1 A, 1 1 B, 1 3 A, 1 3 B, and 2 1 A states of ClOOCl correlate with ClO ($X^{2}\Pi$) + ClO ($X^{2}\Pi$) [the reactant of reactions (1) and (2)]. Along the 1 1 A PEC, the energy monotonocally increases with the O–O distance. The 1 1 B, 1 3 A, 1 3 B, and 2 1 A PECs are essentially repulsive.

We then analyze the O–Cl dissociation PECs in the right part of Fig. 2. The CASPT2 energy value (-1.38 eV) of the 1 3 B asymptote product is very close to the CASPT2 sum energy value of -1.30 eV for the Cl₂ (X $^1\Sigma_g^+$) + O₂ (X $^3\Sigma_g^-$) product group. The Cl–Cl and O–O bond length values (1.983 and 1.212 Å, respectively) in the 1 3 B asymptote product are close to the bond length values (1.999 and 1.206 Å) in the CASPT2 optimized geometries of Cl₂ (X $^1\Sigma_g^+$) and O₂ (X $^3\Sigma_g^-$), respectively. These facts indicate that the 1 3 B state of ClOOCl correlates with Cl₂ (X $^1\Sigma_g^+$) + O₂ (X $^3\Sigma_g^-$) [the product of reaction (2)]. The



Table 3 CASPT2 (18, 12) sum energy values [ΔE , in eV, relative to ClOOCl (1¹A)] of the reactant and product groups for reactions (1) and (2), together with the previously reported theoretical and experimental sum energy values

Groups	ΔE			States of ClOOCl in C ₂ symmetry ^c	
	CASPT2	CASSCF ^a	CAS-CI ^b	Experiment	
Reactant group					
$ClO(X^{2}\Pi) + ClO(X^{2}\Pi)$	0.70	1.10	0.76	$0.74^{\rm d},0.71^{\rm e},0.77^{\rm f}$	Two ^{1,3} A, two ^{1,3} B (1 ^{1,3} A, 1 ^{1,3} B, 2 ¹ A)
Product groups					
$\text{Cl}_2(X^{\ 1}\Sigma_g^+) + \text{O}_2(X^{\ 3}\Sigma_g^-)$	-1.30			-1.31^{g}	One ${}^{3}B$ (1 ${}^{3}B$)
$2\text{Cl }(^{2}P_{\text{u}}) + \text{O}_{2} (X^{3}\Sigma_{g}^{-})$	0.93	-0.10	0.41	1.17 ^d , 1.09 ^e	Four ^{1,3,5} A, five ^{1,3,5} B (1 ¹ A, 2 ¹ A, 1 ¹ B, 1 ³ A)

^a Ref. [25]

CASPT2 energy values of the 1 ¹A, 1 ¹B, 1 ³A, and 2 ¹A asymptote products (0.92, 0.92, 0.98, and 1.00 eV, respectively) are close to the CASPT2 sum energy value of 0.93 eV for the 2Cl ($^2P_{\rm u}$) + O₂ ($X^3\Sigma_g^-$) product group. The O-O bond length values (1.229, 1.218, 1.219, and 1.209 Å) in the four asymptote products are close to the bond length value of 1.206 Å in the CASPT2 optimized geometry of $O_2(X^3\Sigma_g^-)$, and the distance values between the two Cl atoms (8.666, 7.052, 6.954, and 5.167 Å) in the four asymptote products are very large. These facts indicate that the 1 ¹A, 1 ¹B, 1 ³A, and 2 ¹A states of ClOOCl correlate with 2Cl $(^{2}P_{\rm u})$ + O₂ $(X^{3}\Sigma_{\rm g}^{-})$ [the product of reaction (1)]. Along the 1 ¹A PEC, the energy monotonocally increases with the O-Cl distance. Along the 1 ³A PEC, there is a shallow minimum. The 1 ¹B, 1 ³B, and 2 ¹A PECs are essentially repulsive.

There seem to be transition states in the 1 ¹B, 1 ³A, 1 ³B, and 2 ¹A PESs in regions around the 1 ¹A geometry (see Fig. 2), and they could be used as the starting points of the O–O and O–Cl dissociation PECs of the four excited states. However, we failed to locate the expected transition states. Since the starting points of the O–O and O–Cl dissociation PECs in Fig. 2 are just the 1 ¹A geometry, the continuous 1 ¹B, 1 ³A, 1 ³B, and 2 ¹A paths form the reactant to products in Fig. 2 do not represent adiabatic reaction paths in the IRC sense. However, we will see in Sect. 3.5 that these problems are not important and can be ignored.

3.3 The 1 ¹A/1 ³B MECPs

As shown in the right part of Fig. 2, the 1 ³B PEC crosses the 1 ¹A, 1 ¹B, 1 ³A, and 2 ¹A PECs. We only considered the 1 ¹A/1 ³B MECPs since the 1 ¹A state is the ground state and the 1 ¹A/1 ³B PEC crossing point is low in energy

in Fig. 2. The 1 ³A/1 ³B PEC crossing point is also low in energy, but the spin–orbit coupling value at the CASSCF 1 ³A/1 ³B MECP was calculated to be zero.

At the CASSCF (18, 12) level, we located two MECPs between the 1 1 A and 1 3 B PESs. One MECP has a ClOOCl dihedral angle value of 176.2° in its geometry and is denoted as *anti*-MECP. The other MECP has a ClOOCl dihedral angle value of 0.0° in its geometry and is denoted as *syn*-MECP. The two MECPs are considered as two minima in the seam (a 5-D hypersurface) between the 1 1 A and 1 3 B PESs. The CASSCF (18, 12) energy differences between the 1 1 A and 1 3 B states at the *syn*-MECP and *anti*-MECP are 2.4×10^{-7} and 2.9×10^{-7} a.u., respectively.

Given in Table 4 are the CASSCF (18, 12) geometries for the two MECPs, the CASSCF (18, 12) spin-orbit couplings, and CASPT2 (18, 12) energies of the 1 ¹A/1 ³B states $(\Delta E/\Delta E$, relative to 1 1 A) calculated using the CASSCF (18, 12) MECPs geometries. The large R (O-Cl) values in the two MECP geometries indicate that the optimized MECPs lie along the way in the O-Cl dissociations. According to the geometries of the two MECPs, the syn-MECP may play a role in $1^{3}B \leftarrow 1^{1}A$ intersystem crossing leading to the product $(Cl_2 + O_2)$ of reaction (2), while the anti-MECP may play a role in $1^{-1}A \leftarrow 1^{-3}B$ intersystem crossing (involved in a process: $1^{3}B \rightarrow anti-$ MECP $\rightarrow 1^{-1}A \rightarrow 2Cl + O_2$). For studying nonadiabatic mechanism of reaction (2), we will only consider the 1 ¹A/1 ³B syn-MECP. The CASSCF (18, 12) spin-orbit coupling values at the two MECPs are very large. The CASPT2 (18, 12) energy differences between the two states at the two CASSCF MECPs are both 0.29 eV, and in the following discussion, we will use the CASPT2 (18, 12)//CASSCF (18, 12) average energy values. The CASPT2 (18, 12) average energy values (relative to



^b Ref. [27]

^c In parentheses are the ClOOCl states calculated in the present work

d Ref. [2]

e Ref. [20]

f Ref. [7]

g Ref. [43]

1 1 A/1 3 B MECP CASPT2 $\Delta E/\Delta E^{b}$ Geometry Spin-orbit coupling R (O-Cl) R (O1-O2) R (Cl1-Cl2) ∠Cl00 ∠ClOOCl Syn-MECP 2.384 1.159 3.066 113.6 0.0 142.4 1.04/1.33 (1.18) Anti-MECP 1.152 5.198 122.7 176.2 153.6 1.21/1.49 (1.35) 2.242

Table 4 Located MECPs (MECPs) between the 1 ¹A and 1 ³B PESs at the CASSCF (18, 12) level: syn-MECP and anti-MECP

The given results are the CASSCF (18, 12) MECP geometries^a (bond lengths are given in Å, bond angles and dihedral in degrees) and the CASSCF (18, 12) spin–orbit couplings (in cm⁻¹) and CASPT2 (18, 12) energies of the 1 1 A/1 3 B states ($\Delta E/\Delta E$ in eV, relative to 1 1 A) calculated at the CASSCF (18, 12) MECPs. The *syn*-MECP is considered in the 1 3 B \leftarrow 1 1 A intersystem crossing of reaction (2), while the *anti*-MECP should be considered in the 1 1 A \leftarrow 1 3 B intersystem crossing

1 ¹A) at the *syn*-MECP and *anti*-MECP are 1.18 and 1.35 eV, respectively (the value at the *syn*-MECP being smaller than that at the *anti*-MECP).

3.4 The predicted mechanisms for reactions (1) and (2)

On the basis of our results (the CASPT2 PECs and the CASPT2 and CASSCF results at the MECPs), we are able to predict the reaction mechanisms. Reaction (1) is predicted to have the following adiabatic mechanism (pathway 1):

$$\begin{split} &\operatorname{CIO}\left(X^{2}\Pi\right) + \operatorname{CIO}\left(X^{2}\Pi\right)\left(0.70\,\mathrm{eV}\right) \\ &\to \operatorname{CIOOCl}\left(1^{1}\mathrm{A}\right)\left(0.0\,\mathrm{eV}\right) \\ &\to 2\mathrm{Cl}\!\left(^{2}P_{\mathbf{ll}}\right) + \mathrm{O}_{2}\left(X^{3}\Sigma_{g}^{-}\right)\left(0.93\,\mathrm{eV}\right). \end{split}$$

Reaction (2) is predicted to have the following nonadiabatic mechanism (pathway 2):

$$\begin{split} &\operatorname{CIO}\left(X^{2}\Pi\right) + \operatorname{CIO}(X^{2}\Pi)\left(0.70\,\mathrm{eV}\right) \\ &\to \operatorname{CIOOCl}(1^{1}\mathrm{A})(0.0\,\mathrm{eV}) \\ &\to 1^{1}\mathrm{A}/1^{3}\mathrm{B}\mathit{syn}\text{-MECP}\big(1.18\,\mathrm{eV},142.4\,\mathrm{cm}^{-1}\big) \\ &\to \operatorname{CIOOCl}\big(1^{3}\mathrm{B}\big) \\ &\to \operatorname{Cl}_{2}\!\left(X^{1}\Sigma_{g}^{+}\right) + \operatorname{O}_{2}\!\left(X^{3}\Sigma_{g}^{-}\right)\!\left(-1.30\,\mathrm{eV}\right). \end{split}$$

Values in parentheses are the CASPT2 (18, 12) energies of species along the pathways [relative to ClOOCl (1¹A)]. The value of 1.18 eV in the parenthesis after "syn-MECP" is the CASPT2 (18, 12)//CASSCF (18, 12) average energy value, and it is smaller than the CASPT2 (18, 12) $T_{\rm v}$ value (2.76 eV) of the 1 ³B state. The spin–orbit coupling value of 142.4 cm⁻¹ at the 1 ¹A/1 ³B syn-MECP is large enough for 1 ³B \leftarrow 1 ¹A intersystem crossing.

At the CASPT2 (18, 12) level, the needed energies from the reactant for pathway 1 (the maximum energy at the final product) and pathway 2 (the maximum energy at the *syn*-MECP) are predicted to be 0.23 eV (5.3 kcal/mol) and 0.48 eV (11.1 kcal/mol), respectively.

3.5 Conclusion and discussion

On the basis of our CASPT2 PEC and CASPT2//CASSCF MECP calculations, we predict that reaction (1) occurs along pathway 1: $ClO(X^2\Pi) + ClO(X^2\Pi) \rightarrow ClOOCl(1^1A) \rightarrow 2Cl(^2P_u) + O_2(X^3\Sigma_g^-)$ and reaction (2) occurs along pathway 2: $ClO(X^2\Pi) + ClO(X^2\Pi) \rightarrow ClOOCl(1^1A) \rightarrow 1^1A/1^3B$ syn-MECP (142.4 cm⁻¹) $\rightarrow ClOOCl(1^3B) \rightarrow Cl_2(X^1\Sigma_g^+) + O_2(X^3\Sigma_g^-)$. The maximum energies along pathways 1 and 2 are at the product and the MECP, respectively. The maximum energy along pathway 1 is the energy at the product group $2Cl + O_2(5.3 \text{ kcal/mol})$, and the maximum energy along pathway 2 is the energy at the $1^1A/1^3B$ syn-MECP (11.1 kcal/mol).

Multiconfigurational methods (e.g., CASSCF, CASPT2, and MRCI) are certainly needed, since there are open-shell species in the reactant and also in the products, and electronic excited states (of ClOOCl) may be involved. The CASPT2 sum energy values [relative to ClOOCl (1¹A)] for the reactant and product groups of the two reactions are close to the respective experimental sum energy values (see Table 3), indicating that the CASPT2 calculations are accurate and size-consistent. For the prediction of the mechanisms (pathways 1 and 2), we only need the O-O and O-Cl dissociation PECs of the 1 ¹A ground state, the O-Cl dissociation PEC of the 1 ³B state, and the results at the 1 ¹A/1 ³B MECP. Therefore, the problems concerning transition states in the 1 ¹B, 1 ³A, 1 ³B, and 2 ¹A excited states (see the last paragraph of Sect. 3.2) are not important and can be ignored.

The calculations for the MECPs are important in the present study. An automatic search for MECPs at the CASPT2 level is not possible at the present stage, but we consider that the predicted mechanism for reaction (2) (pathway 2, via the 1 1 A/1 3 B PES crossing) is reliable. The CASPT2//CASPT2 energy value at the 1 1 A/1 3 B syn-MECP is not known, and we hope that it will be smaller than the CASPT2 T_{v} value of the 1 3 B state and larger than the CASPT2 sum energy value for 2Cl ($^{2}P_{u}$) + O₂ ($X^{3}\Sigma_{g}^{2}$).



^a The CASSCF (18, 12) energy differences between the 1 1 A and 1 3 B states at the two MECPs are small than 3.0×10^{-7} a.u.

^b Values in parentheses are the averages

Our CASPT2 calculations predict that the needed energies (from the reactant) for pathways 1 and 2 [for reactions (1) and (2), respectively] are 5.3 and 11.1 kcal/ mol, respectively, which are small or not large. Reaction (1) is energetically more favorable than reaction (2) though the product of reaction (2) is lower in energy than the product of reaction (1). Many previous experimental groups [14–20] have considered that the reactions are photochemical reactions. The present theoretical work supports the traditional photochemical model for ozone degradation by the ClOOCl (1 ¹A) molecule. The ClOOCl molecule is formed by the self-reaction of two ClO ($X^2\Pi$) and then it can directly produce O_2 ($X^3\Sigma_g^-$) plus two Cl atoms (other isomers of ClOOCl or intermediates are not needed in the process from ClOOCl (1 ¹A) to 2Cl). One Cl atom can drive more than one chlorine catalytic cycle for the ozone hole formation in the pole ozonosphere.

Acknowledgments We appreciate the financial support for this work that was provided by National Natural Science Foundation of China through Contract No. 20773161. We thank the anonymous referees for their constructive suggestions to improve the work.

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